

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

0652.2200000/EKS/SEZ

U.S. APPLICATION NO. (IF KNOWN, SEE 37 C.F.R. § 1.5)

To Be Assigned

09807512

INTERNATIONAL APPLICATION NO

PCT/EP99/07832

INTERNATIONAL FILING DATE

October 15, 1999

PRIORITY DATE CLAIMED

October 16, 1998

TITLE OF INVENTION

Camel, An Alternative Translation Product of the Tumor Antigen Lagg-1

APPLICANT(S) FOR DO/EO/US

Schrier *et al.*

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: Authorization to Treat a Reply as Incorporating an Extension of Time Under 37 C.F.R. § 1.136(a)(3).

U.S. APPLICATION NO. (if known, see 37 CFR 1.51) To Be Assigned 09/807512	INTERNATIONAL APPLICATION NO. PCT/EP99/07832	ATTORNEY'S DOCKET NUMBER 0652.2200000
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17. <input checked="" type="checkbox"/> The following fees are submitted:	CALCULATIONS	PTO USE ONLY
Basic National Fee (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1000.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 100.00		
ENTER APPROPRIATE BASIC FEE AMOUNT	=	\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	\$ 130.00	
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Claims	Number Filed	Number Extra	Rate		
Total Claims	25 - 20 =	5	X \$18.00	\$90.00	
Independent Claims	2 - 3 =	0	X \$80.00	\$ -0-	
Multiple dependent claim(s) (if applicable)			+ \$270.00	\$ -0-	

TOTAL OF ABOVE CALCULATIONS	=	\$ 1080.00	
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<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.	\$-0-	
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SUBTOTAL	=	\$1080.00	
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Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).	\$ -0-	
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TOTAL NATIONAL FEE	=	\$ 1080.00	
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Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property	\$ -0-	
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TOTAL FEES ENCLOSED	=	\$ 1080.00	
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	Amount to be refunded:	\$
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	charged:	\$
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- a. ☒ A check in the amount of \$1080.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0036. A duplicate copy of this sheet is enclosed.
- NOTE: Where an appropriate time limit Under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO: STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C. 1100 New York Avenue, NW, Suite 600 Washington, D.C. 20005-3934	 SIGNATURE Eric K. Steffe NAME 36,688 REGISTRATION NUMBER
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09/807512
JC02 Rec'd PCT/PTO 16 APR 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Schrier *et al.*

Appl. No. To Be Assigned
(National Phase of International Appl. No.
PCT/EP99/07832, filed October 15, 1999)

Filed: April 16, 2001

For: **Camel, An Alternative
Translation Product of the Tumor
Antigen Lage-1**

Confirmation No.

Art Unit: To Be Assigned

Examiner: To Be Assigned

Atty. Docket: 0652.2200000/EKS/SEZ

**Authorization To Treat A Reply As Incorporating An Extension Of
Time Under 37 C.F.R. § 1.136(a)(3)**

Commissioner for Patents
Washington, D.C. 20231

Sir:

The U.S. Patent and Trademark Office is hereby authorized to treat any concurrent or future reply that requires a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. The U.S. Patent and Trademark Office is hereby authorized to charge all required extension of time fees to our Deposit Account No. 19-0036, if such fees are not otherwise provided for in such reply. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Eric K. Steffe
Attorney for Applicants
Registration No. 36,688

Date: April 16, 2001
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April 16, 2001

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Commissioner for Patents
Washington, D.C. 20231

Re: U.S. National Phase Patent Application
Appl. No.: To Be Assigned; Filed: April 16, 2001
For: **Camel, An Alternative Translation Product of the Tumor Antigen**
Lage-1
Inventors: Schrier *et al.*
Our Ref: 0652.2200000/EKS/SEZ

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Transmittal Letter to United States Designated/Elected Office Concerning a Filing Under 35 U.S.C. § 371 (*in duplicate*);
2. Preliminary Amendment;
3. Authorization to Treat a Reply as Incorporating an Extension of Time Under 37 C.F.R. § 1.136(a)(3) (*in duplicate*);
4. Our check no. **30962** for \$1080.00 to cover:
\$860.00 Basic Fee;
\$130.00 Declaration Surcharge; and
\$90.00 Excess Claims; and,
5. Two (2) return postcards.

Commissioner for Patents
April 16, 2001
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It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Eric K. Steffe
Attorney for Applicants
Registration No. 36,688

EKS/SEZ:vcf

Enclosures